

Exhibit A – Supplemental Information & Indirect Ownership

Applicant: Smithville Spectrum, LLC
1600 West Temperance Street
P.O. Box 728
Ellettsville, IN 47429

Responsible Officer: Darby A. McCarty, Manager

The applicant herein is Smithville Spectrum, LLC (“Applicant”), an Indiana limited liability company that is a wholly-owned subsidiary of Smithville Holding Company, Inc. (“Smithville Holding” or “SHC”). Applicant is a sister company of (*i.e.*, sharing common ownership with) Smithville Telephone Company, Incorporated d/b/a Smithville Communications (“Smithville Telephone” or “STC”). Smithville Telephone is an Indiana corporation and independent telephone company that was founded in 1922. The company was purchased by Guy A. Draper in 1933 and is currently owned by his descendants, members the Draper family, and various trusts created by members of the Draper family. SHC and its subsidiaries are controlled by Darby A. McCarty, great-granddaughter of Guy Draper and Smithville Telephone’s President and CEO. STC provides local exchange telephone service to approximately 23,000 access lines and it qualifies as a “rural telephone company” under Section 1.2110(c)(4) of the Commission’s Rules. The company’s headquarters are located at 1600 West Temperance Street, Ellettsville, IN 47429.

Consistent with the instructions set forth in the Commission’s *Public Notice* entitled Auction of Advanced Wireless Services (AWS-3) Licenses in the Scheduled for November 13, 2014, DA 14-1018, AU Docket No. 14-78 (Auction 97) (*rel.* July 23, 2014), Applicant has provided the information and made the certifications required by Rule Section 1.2105(a)(2) through its completion and execution of FCC Form 175.

Real Parties in Interest

Applicant, Smithville Holding and the shareholders of Smithville Holding (a series of family trusts) are the real parties in interest with respect to this application.

Applicant’s Indirect Owners

Applicant is a wholly-owned subsidiary of Smithville Holding. Smithville Holding is an Indiana corporation with two classes of stock and a total of 5,000,000 shares issued and outstanding. The Darby A. McCarty Revocable Trust (“DAMREV”) (Darby A. McCarty,

Trustee) holds 100% of the voting stock of Smithville Holding, which represents 9.75% of the Company’s total equity. Thus, Ms. McCarty has exclusive control of Smithville Holding and the Applicant.

Smithville Holding’s common non-voting stock is owned by family trusts as follows:

Owner	% of Non-Voting	% of Total Equity
• Darby A. McCarty Revocable Trust (“DAMREV”) (Darby A. McCarty, Trustee; Darby A. McCarty, Beneficiary)	2.95%	2.66%
• Darby A. McCarty Irrevocable Life Insurance Trust II (“DAMILIT II”) (Cullen H. McCarty, Trustee; Cullen H. McCarty and his children, Beneficiaries)	8.55%	7.72%
• Darby A. McCarty Grantor Retained Annuity Trust I (“DAMGRAT I”) (Darby A. McCarty, Trustee; Darby A. McCarty, Beneficiary)	10.70%	9.66%
• Darby A. McCarty Grantor Retained Annuity Trust II (“DAMGRAT II”) (Darby A. McCarty, Trustee; Darby A. McCarty, Beneficiary)	11.66%	10.52%
• Darby A. McCarty Grantor Retained Annuity Trust III (“DAMGRAT III”) (Darby A. McCarty, Trustee; Darby A. McCarty, Beneficiary)	11.94%	10.78%
• Cullen H. McCarty Revocable Trust (“CHMREV”) (Cullen H. McCarty, Trustee; Cullen H. McCarty, Beneficiary)	0.82%	0.74%
• Cullen H. McCarty Irrevocable Trust (“CHMIT”) (Darby A. McCarty, Cullen H. McCarty and David S. McCrea, co-Trustees; Cullen H. McCarty, Beneficiary)	26.33%	23.76%
• McCarty Family Irrevocable Life Insurance Trust (“MFILIT”) (Richard O. Kissel, Trustee; children of Cullen H. McCarty, Beneficiaries)	6.93%	6.25%
• Cullen H. McCarty Grantor Retained Annuity Trust I (“CHMGRAT I”) (Cullen H. McCarty, Trustee; Cullen H. McCarty, Beneficiary)	6.39%	5.76%
• Cullen H. McCarty Grantor Retained Annuity Trust II (“CHMGRAT II”) (Cullen H. McCarty, Trustee; Cullen H. McCarty, Beneficiary)	6.82%	6.16%
• Cullen H. McCarty Grantor Retained Annuity Trust III (“CHMGRAT III”) (Cullen H. McCarty, Trustee; Cullen H. McCarty, Beneficiary)	6.91%	6.24%
TOTAL	100%	90.25%

These individuals and entities described above are all United States citizens and each may be contacted by writing to the Applicant, at the address listed above, or c/o Blooston, Mordkofsky, Dickens, Duffy & Prendergast, 2120 L Street, NW, Suite 300, Washington, DC 20037. Cullen H. McCarty is the son of Darby A. McCarty.

FCC Regulated Businesses in Which the Applicant Owns a 10 Percent or Greater Ownership Interest

Applicant holds no interests in any FCC regulated businesses.

FCC Regulated Businesses in Which Parties Owning 10 Percent or More of (or Controlling) the Applicant Own a 10 Percent or Greater Ownership Interest

Applicant has provided below a list of FCC-regulated businesses in which its direct and indirect owners own a 10 percent or greater ownership interest.

Smithville Telephone Company, Incorporated d/b/a Smithville Communications

Smithville Telephone is an Indiana corporation that is 100% owned by Smithville Holding. It is a provider of local exchange telephone services and its business offices are located at 1600 West Temperance Street, Ellettsville, IN 47429.

Rice Belt Telephone Company, Inc.

Rice Belt Telephone Company, Inc. (“RBT”) is an Arkansas corporation that is 100% owned by Smithville Holding through a separate holding company, Rice Belt Holdings, Inc. (“RB Holdings”). RBT is a provider of local exchange telephone services in western Poinsett County, AR, and its business offices are located at 228 Kings Highway, Weiner, AR 72479.

Rice Belt Communications, Inc.

Rice Belt Communications, Inc. (“RBC”) is an Arkansas corporation that is 100% owned by Smithville Holding through RB Holdings. RBC is a provider of telecommunications services that is currently inactive. Its business offices are located at 228 Kings Highway, Weiner, AR 72479.

Smithville Telecom, LLC

Smithville Telecom, LLC (“Smithville Telecom”) is an Indiana limited liability company that is 100% owned by Smithville Holding. It is a provider of competitive local exchange telephone and telecommunications services and its business offices are located at located at 1600 West Temperance Street, Ellettsville, IN 47429.

Applicant believes that it has provided herein all of the necessary ownership disclosures required under Section 1.2112 (a) (1)-(7) and that neither it nor its direct or indirect owners hold a 10% or greater interest in any other FCC-regulated entities or applicants for an FCC license.

Officers, Directors and Key Management of the Applicant and its 100% Owner

Applicant’s Officers, Directors and key management of the Applicant and its 100% owner, Smithville Telephone, are each United States citizens and include the following:

Smithville Spectrum, LLC

Darby A. McCarty	Manager
Cullen H. McCarty	Manager
Edward F. McCrea	Manager

Smithville Holding Company, Inc.

Darby A. McCarty	President and CEO, Director
Cullen H. McCarty	Executive Vice President, Director
David S. McCrea	Executive Vice President, Director
Richard O. Kissel, II	Director
Keith A. Knipstein	Secretary and Treasurer

Other than the companies identified above, none of these officers, directors or key management personnel has 10% or greater interest in any FCC regulated business. Each may be contacted by writing to the Applicant, at the address listed above, or c/o Blooston, Mordkofsky, Dickens, Duffy & Prendergast, 2120 L Street, NW, Suite 300, Washington, DC 20037.

Additional Certifications

Applicant utilizes a law firm that represents other applicants in Auctions No. 97 and a member of Applicant's law firm has been authorized to perform bidding duties on behalf of the Applicant. Applicant's law firm has not been involved in any discussions regarding bids or bid strategy and shall not perform bidding duties for any other client/applicant that has specified licenses on its short-form application that are the same or that have geographic overlap with those of the Applicant. Moreover, procedures have been implemented to ensure that the law firm's other clients cannot learn any information about Applicant's bids or bid strategies or plans for the post-auction market structure, and vice versa.

Applicant certifies that it is not in default on any Federal Communications Commission ("Commission") licenses and that it is not delinquent on any non-tax debt owed to any Federal agency.

Applicant states under penalty of perjury that it has never been in default on any Commission license and that it has never been delinquent on any non-tax debt owed to any Federal agency.

Applicant certifies as truthful, under penalty of perjury, that it has not entered with any other party into any partnership, joint venture, consortium or other agreement, arrangement or understanding of any kind relating to the licenses being auctioned in this Auction No. 97, including any such agreements relating to the post-auction market structure.

Applicant further certifies, under penalty of perjury, that it has not entered and will not enter into any explicit or implicit agreements, arrangements or understandings of any kind with any party regarding the amounts of their bids, bidding strategies or the particular licenses on which they will or will not bid.