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Submitted: 08/06/2020 at 10:50:50

File Number: 0009175451

FCC Form 603 Main Form FCC Application for Assignments of Authorization and Transfers of Control:
Wireless Telecommunications Bureau
Public Safety and Homeland Security Bureau

Approved by OMB 3060 - 0800 See instructions for

public burden estimate

General Information

1)	Application Purpose (Select only one) (NT)	
	AA - Assignment of Authorization TC - Transfer of Control AM - Amendment WD - Withdrawal NT - Required Notification (For Consumma EX - Request for Extension of Time (To Consumma EX - Request for Extension of Time)	
2)	If this application is for an Amendment (AM) or Withdrawal (WD), enter the File Number of the pending or consented to application currently on file with the FCC.	File Number:
3a)	Is this application for Assignment of Authorization or Transfer of Control part of a series of applications involving other wireless license(s) held by the Licensee, affiliates of the Licensee (e.g., parents, subsidiaries, or commonly-controlled entities), or third parties that are not included on this application and for which Commission approval or notification is required?	() <u>Y</u> es <u>N</u> o
3b)	If the answer to 3a is 'Y', is this filing the lead application?	() <u>Y</u> es <u>N</u> o
3c)	If the answer to 3b is 'N', provide the File Number of the lead application.	File Number:
3d)	Does this transaction for Assignment of Authorization or Transfer of Control involve the assignment or transfer of non-wireless licenses/authorizations for which Commission approval or notification is required?	() <u>Y</u> es <u>N</u> o
4)	Are attachments (other than associated schedules) being filed with this application?	() <u>Y</u> es <u>N</u> o
Fee	s and Waivers	
5a)	Is the Applicant exempt from FCC application fees?	(N) <u>Y</u> es <u>N</u> o
	If 'Y', attach an exhibit demonstrating how the Applicant is exempt from FCC application fees.	
5b)	Is a waiver/deferral of the FCC application fees being requested and the application fees are not being submitted in conjunction with this application?	() <u>Y</u> es <u>N</u> o
	If 'Y', attach a date-stamped copy of the request for waiver/deferral of the FCC application fees.	
6a)	Does this application include a request for waiver of the Commission's Rules (other than a request for application fee waivers)?	(N) <u>Y</u> es <u>N</u> o
	If 'Y', attach an exhibit specifying the rule section(s) for which a waiver is being requested and including a justification for the waiver request.	
6b)	If 6a is 'Y', enter the number of rule sections involved.	Number of Rule Sections:
		~

Additional Transaction Information

7a) Has this application for Assignment of Authorization or Transfer of Control already occurred?			() Y es	<u>N</u> o
7b) If the response to Item 7a is 'Y', provide the date the event occurred:	(MM/DD/Y	YYY)			
8) The Assignment of Authorization or Transfer of Control is:	() Volu	ntary	() Involur	ntary
9a) Is this application a pro forma Assignment of Authorization or Transfer of Control?			() Y es	<u>N</u> o
9b) If Item 9a is 'Y', is this a post-consummation notification that is being filed under the Commission's forbearance procedures pursuant to Section 1.948(c)(1) of the Commission's Rules?			() <u>Y</u> es	<u>N</u> o
10a) Does this application involve the partitioning and/or disaggregation of geographic-area licenses? If 'Y', complete Schedule B and, if applicable, Schedule C.			() <u>Y</u> es	<u>N</u> o
10b) If 10a is 'N', does this application involve the partial assignment of site-based licenses?			() <u>Y</u> es	<u>N</u> o

11) How will/has the Assignment of Authorizat	ion or Transfer of Control b	pe/been accomplished? Select One: ()	
<u>S</u> ale or other assignment of assets	<u>C</u> ourt order	Reorganization or liquidation		
$\underline{\mathbf{T}}$ ransfer of stock or other ownership interest	ests			
Other (voting trust agreement, manageme	nt contract, etc.):			
Designated Entity Information (If 12a or 1	2b is 'Y', Schedule A is red	quired to be completed.)		
12a) Enter 'Y' if this application for Assignmen were awarded with bidding credits within the				() <u>Y</u> es <u>N</u> o
The initial grant date is the date that the li even if the license was acquired in the se Commission granted an assignment or tr	condary market. The initia	al grant date is not the date on which the		
If the response to this item is 'Y', the lice the Applicant should answer relevant bid Rules.				
12b) Does this application for Assignment of Au originally granted pursuant to closed bid				() <u>Y</u> es <u>N</u> o
If the response to this item is 'Y', the licer the Applicant should answer relevant clo Commission's Rules.				
Competition Related Information				
13) Does this application for Assignment of A used for interconnected mobile voice an geographic overlap with another license interests, as defined in Section 20.22(b lessee/sublessee, and that also could be	d/or data services that wo e(s) in which the Assigne of the Commission's R	uld, if assigned or transferred, create a e/Transferee already holds attributable ules, either as a licensee or spectrum		() <u>Y</u> es <u>N</u> o
If 'Y', specify in an exhibit all geographic spectrum overlaps and the total amount in each geographic area/market.	c areas/markets (e.g., BT of spectrum that the Assi	A, CMA, county, etc.) where there are ignee/Transferee will hold and/or lease		
14) If the answer to Item 13 is Yes,' would/does this Control reduce the number of entities pr			() <u>Y</u> es <u>N</u> o
Broadband Radio Service and Education	onal Broadband Service	ce Information		
15a) Will the requested facilities be used to pr	ovide multichannel video p	programming service?) <u>Y</u> es <u>N</u> o
15b) If 15a is 'Y', does the Assignee/Transferd in Section 27.1202 of the Commission's located within the geographic area of the	Rules) in a cable television	e an attributable interest (as defined in system whose franchise area is) <u>Y</u> es <u>N</u> o
If 'Y', provide an exhibit explaining how th Commission's Rules or request a waiver Commission's Rule(s) is being requester	of that rule pursuant to Co	mmission Rules. If a waiver of the		
16) Does the Assignee/Transferee comply with 27.1203 of the Commission's Rules?	n the programming require	ments contained in Section	() <u>Y</u> es <u>N</u> o
If 'N', provide an exhibit explaining how th Commission's Rules or request a waiver of Commission's Rule(s) is being requested	of that rule pursuant to Co	emplies with Section 27.1203 of the mmission Rules. If a waiver of the		

600 MHz Band Information

17	Does the Assignment or Transfer involve any licenses in the 600 MHz Band initially granted in the last six years that would, if assigned/transferred, create a geographic overlap with another licenses(s) in which the Assignee/Transferee already holds attributable interests, either as a licensee or Assignee/Transferee, and that would result in the Assignee/Transferee holding an attributable interest in one-third or more of the total suitable and available below-1-GHz spectrum as calculated on a county-by-county population-weighted basis in the relevant license area, utilizing 2010 U.S. Census data?	() <u>Y</u> es	<u>N</u> o
	The initial grant date is the date that the license was originally granted by the Commission after an auction, even if the license was acquired in the secondary market. The initial grant date is not the date on which the Commission granted an assignment or transfer of control of the license.			
	If the response to this item is 'Y', this application for Assignment or Transfer application must be part of an exchange of 600 MHz band spectrum in the same market(s) or must be a pro forma Assignment or Transfer. If 'Y', provide an exhibit detailing the proposed exchange of 600 MHz band spectrum in the same market(s), including a list of all file numbers for applications associated with the proposed exchange.			
18	Does the Assignment or Transfer involve any licenses that were initially granted as reserved spectrum licenses in the 600 MHz Band in the last six years? Otherwise, enter 'N'.	() <u>Y</u> es	<u>N</u> o
	The initial grant date is the date that the license was originally granted by the Commission after an auction, even if the license was acquired in the secondary market. The initial grant date is not the date on which the Commission granted an assignment or transfer of control of the license.			
	If 'Y', provide an exhibit demonstrating how the Assignee/Transferee would have qualified to bid on that reserved spectrum license(s) in Auction 1002 as of the FCC Form 175 filing deadline (February 10, 2016) for Auction 1002, the forward auction of the Broadcast Incentive Auction.			

Assignor/Licensee Information

7								
19) Assignor/Licensee is a(n): (Select One)								
() Individual () Unincorporated Association () Τ	rust	()	Governm	nent Entity ()	Corpora	ation () Limited Liabili	ty Company
() General Partnership () Limited Partnershi	р () Lim	ited Li	ability Pa	rtnership			
() Other:								
20) FCC Registration Number (FRN):								
21) First Name (if individual): MI: Last Name: Suffix:								
22) Legal Entity Name (if not an individual):								
23) Attention To:								
24) P.O. Box:	And /Or	3E) C4	root A	ddraaa				
24) F.O. BOX.		25) 31	IEEL A	ddress:				
26) City:					27) State:		28) Zip Code:	
29) Telephone Number:				30) Fax	Number:			
31) E-Mail Address:								
32) Demographics of Assignor/Licensee (Optio	nal)·							
Race: ()American Indian or Alaska Native		i city:)Hispar	nic or L	atino		Ger (nder:)Male	
()Asian	()Not Hi	spanic	or Latino		()Female	
()Black or African-American								
()Native Hawaiian or Other Pacific Islander								
()White								
Assignor/Licensee Contact Representative								
33) First Name:			MI:	Last Na	ime:			Suffix:
34) Company Name:								
35) Attention To:								
36) P.O. Box: And /Or 37) Street Address:								
38) City: 39) State: 40) Zip Code:								
41) Telephone Number: 42) Fax Number:								
43) E-Mail Address:								
						-		

Transferor Information (for Transfers of Control only)

44) Transferor is a(n): (Select One)									
() Individual ()Unincorporated Association ()Trust ()GovernmentEntity ()Corporation ()Limited Liability Company									
() General Partnership () Limited Partnership	() Lin	nited L	iability Pa	artnership				
() Others				·	·				
() Otner:									
45) FCC Registration Number (FRN):									
46) First Name (if individual): MI: Last Name:								Suffix:	
47) Legal Entity Name (if not an individual):	47) Legal Entity Name (if not an individual):								
48) Attention To:									
	And /Or	50) Str	reet Ad	ddress:					
51) City:					52) State:		53) Zip Code:		
54) Telephone Number:				55) Fax I	Number:				
56) E-Mail Address:									
57) Demographics of Transferor (Optional):									
Race: ()American Indian or Alaska Native	Ethn (icity:)Hispar	nic or L	_atino		Ger (nder:)Male		
()Asian	()Not Hi	spanic	or Latino		()Female		
()Black or African-American									
()Native Hawaiian or Other Pacific Islander									
()White									
Transferor Contact Representative									
58) First Name:			MI:	Last Na	ame:			Suffix:	
59) Company Name:									
60) Attention To:									
61) P.O. Box: And /Or 62) Street Address:									
63) City: 64) State: 65) Zip Code:									
66) Telephone Number: 67) Fax Number:									
68) E-Mail Address:									

Assignee/Transferee Information								
69) Assignee/Transferee is a(n): (Select One) () Individual ()Unincorporated Association	()	Trust	())Governm	entEntity (X)Co	prporation	n ()Limited Liability	Company
() General Partnership () Limited Partners	hip	() Li	imited	Liability P	artnership			
() Other:								
70) FCC Registration Number (FRN): 0002805596								
71) First Name (if individual):			MI:	Last Na	ame:			Suffix:
72) Legal Entity Name (if not an individual):								
73) Attention To: James W. Broemmer, Jr.								
74) Real Party in Interest FCC Registration Number (FF	RN):							
75) Name of Real Party in Interest:								
76) P.O. Box: 248	And /Or	77) St	reet A	ddress:	305 Emminga Roa	ad		
78) City: Golden					79) State: IL		80) Zip Code: 62339	
81) Telephone Number: (217)696-4411				82) Fax I	Number: (217)69	96-4811		
83) E-Mail Address: jimbroemmer@adamstel.com								
84) Demographics of Assignee/Transferee (Op	ational)							
Race:		nicity:	nio or	Latina		Gen		
()American Indian or Alaska Native)Hispa)Male	
()Asian	()NOT H	ispani	c or Latino)	()Female	
()Black or African-American								
()Native Hawaiian or Other Pacific Islander ()White								
Assignee/Transferee Contact Representative ((if other	than A	ssign	ee/Trans	sferee)			
() Check here if same as Assignee/Transferee. 85) First Name: Donald			MI:	L Last Na	ame: Herman			Suffix: Jr.
86) Company Name: Herman & Whiteaker, LLC			<u> </u>	ı				
87) Attention To:								
88) P.O. Box:	And /Or	89) St	reet A	ddress:	6720-B Rockledge	Drive, S	uite 150	
90) City: Bethesda	1,3,	1			91) State: MD		92) Zip Code: 20817	
93) Telephone Number: (202)600-7273 94) Fax Number: (202)706-6056								
95) E-Mail Address: dee@hermanwhiteaker.com								

Ownership Disclosure Information						
96a) Is the Assignee/Transferee required to file FCC Form 602, Ownership Disclosure Information for the Wireless Telecommunications Services?	() <u>Y</u> es <u>N</u> o					
96b) If 94a is 'Y', provide the File Number of the FCC Form 602 that is required to be submitted in conjunction with this application or is already on file with the FCC.	File Number:					
Alien Ownership (If any answer is 'Y", provide an attachment explaining the circumstances refer to the Main Form Instructions for the "Alien Ownership Questions".)	In preparing the attachment,					
97) Is the Assignee/Post-transfer Licensee a foreign government or the representative of any foreign government?	()Yes <u>N</u> o					
98) Is the Assignee/Post-Transfer Licensee an alien or the representative of an alien?	() Yes <u>N</u> o					
99) Is the Assignee/Post-Transfer Licensee a corporation organized under the laws of any foreign government?	() Yes <u>N</u> o					
100) Is the Assignee/Post-Transfer Licensee a corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country?	() <u>Y</u> es <u>N</u> o					
101a) Is the Assignee/Post-Transfer Licensee directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens or their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country?	() <u>Y</u> es <u>N</u> o					
101b) If the answer to 100 or 101a is 'Y' select one of the choices below.						
The <u>Assignee or Post-Transfer Licensee</u> is exempt from the provisions of Section 310(b).						
It is not necessary to file a petition for declaratory ruling if the <u>Assignee</u> or <u>Post-Transfer Licensee</u> include Item <u>101</u> a a showing that the subject License(s) is exempt from the provisions of Section 310(b).	s in the attachment required by Item <u>100</u> or					
The <u>Assignee or Post-Transfer Licensee</u> has received a declaratory ruling(s) approving its foreign owne acquisition of additional spectrum for the provision of a wireless service in a geographic coverage area <u>Licensee</u> has been previously authorized.						
If checked, include in the attachment required by Item <u>100</u> or Item <u>101a</u> the citation(s) of the applicable de FCC Record citation, if available, release date, and a statement that there has been no change in the form						
The <u>Assignee or Post-Transfer Licensee</u> : (i) has received a declaratory ruling(s) approving its foreign ownership, but is not able to make the certification specified immediately above; or (ii) is an "affiliate" of a Licensee or Lessee/SubLessee that received a declaratory ruling(s) under Section 1.990(a) of the Commission's Rules, 47 C.F.R. § 1.990(a), and is relying on the affiliate's ruling for purposes of filing this <u>application</u> as permitted under the affiliate's ruling and Section 1.994(b) of the Rules, 47 C.F.R. § 1.994(b).						
If checked, and if the <u>Assignee or Post-Transfer Licensee</u> received its declaratory ruling(s) on or after required by Item <u>100</u> or Item <u>101a</u> the citation(s) of the <u>Assignee</u> or <u>Post-Transfer Licensee's</u> declarate Record citation, if available, release date, and a statement that the <u>Assignee</u> or <u>Post-Transfer Licensee's</u> conditions of its ruling and with the Commission's Rules.	ory ruling(s) by DA/FCC number, the FCC					
If checked, and if the <u>Assignee</u> or <u>Post-Transfer Licensee</u> received its declaratory ruling(s) prior to A required by Item <u>101a</u> a copy of a petition for declaratory ruling filed contemporaneously with the Cor <u>Transfer Licensee's</u> existing ruling(s) to cover the same radio service(s) and geographic coverage area(the <u>Assignee</u> or <u>Post-Transfer Licensee</u> may request a new declaratory ruling pursuant to Section 1.990(a). Petitions for declaratory ruling may be filed electronically on the Internet through the Internaticopy attached hereto).	mmission to extend the <u>Assignee</u> or <u>Post</u> s) involved in the <u>application</u> . Alternatively, (a) of the Commission's Rules, 47 C.F.R. §					
If checked, and if the <u>Assignee</u> or <u>Post-Transfer Licensee</u> is relying on an affiliate's ruling for purposes of the attachment required by Item <u>100</u> or Item <u>101a</u> the citation(s) of the applicable declaratory ruling(s) by if available, release date, and a statement that the <u>Assignee</u> or <u>Post-Transfer Licensee</u> is in compliance affiliate(s)'s ruling and with the Commission's Rules. The <u>Assignee</u> or <u>Post-Transfer Licensee</u> must also by the named affiliate or other qualified entity as specified in Section 1.994(b) of the Rules, 47 C.F.R. § Items <u>100</u> or <u>101a</u> , as applicable.	DA/FCC number, the FCC Record citation, with the terms and conditions of the named include a certification of compliance signed					
The <u>Assignee or Post-Transfer Licensee</u> has not received a declaratory ruling approving its foreign owned under Section 1.990(a) of the Commission's Rules, 47 C.F.R. § 1.990(a), in a petition filed contemporary						
If checked, include in the attachment required by Item 100 or 101 a a copy of the petition for declarator. Commission pursuant to Section 1.990(a) of the Commission's Rules, 47 C.F.R. § 1.990(a). Peti electronically on the Internet through the International Bureau Filing System (IBFS) (with a copy attached	tions for declaratory ruling may be filed					

Basic	Basic Qualification Information			
102)	102) Has the Assignee/Transferee or any party to this application had any FCC station au or construction permit revoked or had any application for an initial, modification, or remauthorization, license, or construction permit denied by the Commission?		() <u>Y</u> es	<u>N</u> o
	If 'Y', attach an exhibit explaining the circumstances.			
103)	103) Has the Assignee/Transferee or any party to this application, or any party directly or inc the Assignee/Transferee ever been convicted of a felony by any state or federal cou		() <u>Y</u> es	<u>N</u> o
	If 'Y', attach an exhibit explaining the circumstances.			
104)	Has any court finally adjudged the Assignee/Transferee, or any party directly or indi Assignee/Transferee guilty of unlawfully monopolizing or attempting unlawfully to communication, directly or indirectly, through control of manufacture or sale of radio a traffic arrangement, or any other means or unfair methods of competition?	monopolize radio	() <u>Y</u> es	<u>N</u> o
	If 'Y', attach an exhibit explaining the circumstances.			
Assig	Assignor/Transferor Certification Statements			
1)	 The Assignor/Transferor certifies either that (1) the authorization will not be assigned consent of the Federal Communications Commission has been given, or (2) prior Co subject to streamlined notification procedures for pro forma assignments and transfers the Commission's Rules. 	mmission consent is not req	uired because the transact	tion is
2)	 The Assignor/Transferor certifies that all statements made in this application and in the eare material, are part of this application, and are true, complete, correct, and made in g 		nents incorporated by refere	nce
	 The Assignor/Transferor certifies that it is not in default on any payment for Co non-tax debt owed to any federal agency. 	ommission licenses and that	at it is not delinquent on	any
Туре	Typed or Printed Name of Party Authorized to Sign			
105) F	105) First Name: MI: Last Name:		Suffix:	
106)	106) Title:			
Signa	Signature:		107) Date:	

FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID.

WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).

Assignee/Transferee Certification Statements

- 1) The Assignee/Transferee certifies either that (1) the authorization(s) will not be assigned or that control of the license(s) will not be transferred until the consent of the Federal Communications Commission has been given, or (2) prior Commission consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. See Section 1.948(c)(1) of the Commission's Rules.
- 2) The Assignee/Transferee waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application.
- 3) The Assignee/Transferee certifies that grant of this application would not cause the Assignee or Transferee to be in violation of any pertinent cross-ownership or attribution rules.*
 - *If the Assignee/Transferee has sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request.
- 4) The Assignee/Transferee agrees to assume all obligations and abide by all conditions imposed on the Assignor/Transferor under the subject authorization(s), unless the Federal Communications Commission pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against the Assignor/Transferor prior to this assignment/transfer.
- 5) The Assignee/Transferee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.
- The Assignee/Transferee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under Section 1.2002(c) of the Commission's Rules. See Section 1.2002(b) of the Commission's Rules for the definition of "party to the application" as used in this certification.
- 7) The Assignee/Transferee certifies that it is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.
- 8) The Assignee/Transferee certifies that it and all of the related individuals and entities required to be disclosed on this application and FCC Form 602 (FCC Ownership Disclosure Information for the Wireless Telecommunications Services) are not person(s) who have been, for reasons of national security, barred by any agency of the Federal Government from bidding on a contract, participating in an auction, or receiving a grant. This certification applies only to applications for licenses for spectrum that is required by Sections 6103, 6401-6403 of the Middle Class Tax Relief and Job Creation Act of 2012, codified at 47 U.S.C. §§ 309, 1413, 1451-1452, to be assigned by a system of competitive bidding under 47 U.S.C. § 309(j).

Typed or Printed Name of Party Authorized to Sign

108) First Name: James	MI:	Last Name: Broemmer		Suffix:				
109) Title: Chief Executive Officer								
Signature:			110) Da	ate:				
James Broemmer			08/06/2	020				
FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID.								
WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)								

Authorizations To Be Assigned or Transferred

111) Call Sign	112) Radio Service Code	113) Location Number	114) Path Number (Microwave only)	115) Frequency Number	116) Lower or Center Frequency (MHz)	117) Upper Frequency (MHz)	118) Constructed Yes / No

Schedule for Notification of Consummation of an Assignment of Authorization or a Transfer of Control

Approved by OMB 3060 - 0800 See Main Form instructions for public burden estimate

1) Provide the File Number of the Assignment of Authorization or Transfer of Control application.	File Number: 0009016125
2) Provide the actual date of consummation for the Assignment of Authorization or Transfer of Control.	(MM/DD/YYYY) 08/06/2020